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<b>TAXATION AND CUSTOMS UNION DG</b> <b>CUST/DEV – FC TAXUD/2006/CC/080 – SC 01</b>  <b>SUBJECT:</b>  <b>DDNIA for ICS Phase 1</b>  <b>Appendix C: Codelists</b>  <b>(CUD-SC01-DDNIA_ICS_P1_APP_C)</b>		
<b>FRAMEWORK CONTRACT TAXUD/2006/CC/080</b> <b>SPECIFIC CONTRACT 07</b>		

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
<b>APPENDIX C: CODELISTS</b>	
<b>INTRODUCTION</b>	

## Introduction

This appendix lists the available code lists in order of code list number:

- The Technical Codes for which the values given here in the DDNIA for ICS are definitive.
- The Business Codes (those identified in the FTSS Addendum 1/2007 and the C2007 WORKING ENQUIRY GROUP for which the definitive values are those loaded into the Common Services Reference Data and available for download to NAs. Therefore, those values are not presented or only presented for information.

Each code list is structured as follows :

Number	Number used in DDNIA Appendix J Correlation tables.
Name	Name, in most cases resembling the name of the relevant attribute(s).
Format	Type of code and thus of the attributes to which the code list applies.
Technical	A flag to indicate if the codelist as a whole is a Technical Codelist.
Details	Exceptions, relevant attributes.
Code	Values.
Description	Meaning of each value.
Remarks	Exceptions or remarks concerning a particular value.
TC	Flag to denote if this code is a Technical Code.

Note that the technical codes included in Business Code Lists will be maintained by the CS/RD, since the Business Code Lists as whole are maintained by CS/RD.

Please also note that due to a technical limitation of the Adobe Acrobat Writer, some characters may not be displayed properly.

Additional codes can be defined at national level in a separate National Code List. These codes will only be used within the National and External Domains and NOT the Common Domain. Therefore they will be under the control of the National Helpdesk and will be communicated within that NA.

## DDNA KEL Alignment

The specific Appendix is aligned to DDNA KEL V21

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<b>APPENDIX C: CODELISTS</b>	
<b>LIST OF AVAILABLE TECHNICAL CODE LISTS</b>	

## List of available Technical Code Lists

Number	Title	Format
24	Modification Type	a1
26	Month of Year	an2
27	Flag	n1
30	XML Error Codes	n2
49	Functional Error Codes	n2
53	Prefix-Suffix level	an1
60	Message Types	an..6
68	Business Functionality Code	a1
70	Country Codes (Customs Office Lists)	a2
79	System Unavailability Type	a1
105	Day in the week	n1
121	EORI-AEO Error code	an..50

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<b>APPENDIX C: CODELISTS</b>	
<b>LIST OF AVAILABLE BUSINESS CODE LISTS</b>	

## List of available Business Code Lists

Number	Title	Format
8	Country Codes (full list)	a2
10	country codes (community)	a2
11	Country regime	an3
12	Language Codes	a2
13	Document Type (Common)	an..4
15	Geo Info Codes	an..6
16	Geo-Nomenclature Code	n3
17	Kind of Packages	an2
18	Transport Mode	n..2
20	Traffic type	an..3
39	Additional Information ID (Common)	an..5
45	Amendment rejection motivation code	n1
56	Role	an3
58	Customs Operation State Types	an..3
67	Specific notes code	an..6
95	Risk analysis result code	an1
96	Specific circumstance indicator	a1
97	Summary declaration rejection reason code	n..2
101	UN dangerous goods code	an4
106	Item Number Rejection Reason Code	n..2
108	Customs Intervention Code	an..4
109	Information Type	n..2
113	VAT MS country code	a2
114	Economic sector of activity code	an..4
115	Type of person code	n1
116	Transport charges - Method of payment	a1
120	AEO certificate type code	an4
122	Criteria code	an..3
123	Customs procedure code	an..5
124	Rejection reason code	an1
125	Suspension reason code	an1
126	Revocation reason code	an1
127	Initiator code	an1
128	Activity code	an1
129	Process code	an1
130	Timer code	an1
131	State code	an1
132	Extension reason code	an2
133	Criterion result code	n1
134	Reassessment reason code	n1

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'COUNTRY CODES (FULL LIST)'</b>	

## 8 Country Codes (full list)

Format a2

Technical

**Details :** Code to specify countries (ISO alpha 2 country code as specified in ISO-3166 / 1996).  
A Business Codelist is maintained in CS/RD.  
For the attribute 'Country of export' (box 15 and 15a) and 'TRADER CONSIGNOR.country code' the countries of the EU shall be used only.  
For the attribute 'country of destination' (box17 and 17a), other countries than EU countries shall be used only.

Code	Description	Remarks	TC
AI	Anguilla		<input type="checkbox"/>
AT	Austria		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'COUNTRY CODES (COMMUNITY)'	

## 10 country codes (community)

Format a2

Technical

**Details :** This codelist is a Business Codelist maintained in CS/RD and can be found by selecting the countries with country regime equal to EEC. Values are shown for illustration only.

Code	Description	Remarks	TC
AT	Austria		<input type="checkbox"/>
BE	Belgium		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'COUNTRY REGIME'	

## 11 Country regime

Format an3

Technical

**Details :** Type of regime applicable to the countries involved in the Transit procedure.  
The same codelist values are used for both Domains (ECS/NCTS/ICS) in order to support the COL.

Code	Description	Remarks	TC
EEC	Transit-country of the European Community		<input type="checkbox"/>
OTH	Other country		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'LANGUAGE CODES'	

## 12 Language Codes

Format a2

Technical

**Details :** Used to specify the language used for declaration purposes and for free text information (ISO alpha 2 Codification - ISO 639), see Annex 37/c Implementing Provisions and Annex D2 to Appendix III of the Convention.

A Business Codelist is maintained in CS/RD.

Code	Description	Remarks	TC
DE	German		<input type="checkbox"/>
ET	Estonian		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'GEO INFO CODES'	

## 15 Geo Info Codes

Format an..6

Technical

**Details :** A Business Codelist is maintained in CS/RD.  
The same codelist values are used for Domains (ECS/NCTS/ICS) in order to support the COL.

Code	Description	Remarks	TC
CM	Mediterranean Coast		<input type="checkbox"/>
IM	Mediterranean Island		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'GEO-NOMENCLATURE CODE'	

## 16 Geo-Nomenclature Code

Format n3

Technical

**Details :** A/An Transit/Export/Import Business Codelist is maintained in CS/RD.  
Code to specify the nomenclature of countries and territories for the external trade statistics of the Community and statistics of trade between Member States (ISO alpha 2 country code as specified in ISO-3166 / 1996).

Code	Description	Remarks	TC
043	Andorra		<input type="checkbox"/>
647	United Arab Emirates		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'KIND OF PACKAGES'	

## 17 Kind of Packages

Format an2

Technical

**Details :** Used to specify the kind of packaging in which goods are packed (UNECE Recommendation No.21), see Annex 37c Implementing Provisions and Annex D2 of the Convention.

A Business Codelist is maintained in CS/RD.

Code	Description	Remarks	TC
AD	Receptacle, Wooden		<input type="checkbox"/>
CA	Can, Rectangular		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'TRANSPORT MODE'	

## 18 Transport Mode

Format n..2

Technical

**Details :** Mode of transport corresponding to the active means of transport (see Annex 38 Implementing Provisions and Annex A9 to Appendix III of the Convention).

A Business Codelist is maintained in CS/RD.

Code	Description	Remarks	TC
1	Sea transport		<input type="checkbox"/>
2	Rail transport		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'TRAFFIC TYPE'</b>	

## 20 Traffic type

Format an..3

Technical

**Details :** Type of traffic dealt with in the Transit/Export Customs Office.

A/An Transit/Export/Import Business Codelist is maintained in CS/RD, values are shown for illustration only.

Code	Description	Remarks	TC
AIR	Airport		<input type="checkbox"/>
C	River traffic		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'MODIFICATION TYPE'	

## 24 Modification Type

Format a1

Technical

**Details :** Used for (multiple) attribute Operation.

Code	Description	Remarks	TC
C	Create		<input checked="" type="checkbox"/>
D	Delete		<input checked="" type="checkbox"/>
U	Update		<input checked="" type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'MONTH OF YEAR'</b>	

## 26 Month of Year

Format an2

Technical

Details :

Code	Description	Remarks	TC
01	January		<input checked="" type="checkbox"/>
02	February		<input checked="" type="checkbox"/>
03	March		<input checked="" type="checkbox"/>
04	April		<input checked="" type="checkbox"/>
05	May		<input checked="" type="checkbox"/>
06	June		<input checked="" type="checkbox"/>
07	July		<input checked="" type="checkbox"/>
08	August		<input checked="" type="checkbox"/>
09	September		<input checked="" type="checkbox"/>
10	October		<input checked="" type="checkbox"/>
11	November		<input checked="" type="checkbox"/>
12	December		<input checked="" type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'FLAG'	

## 27 Flag

Format n1

Technical

**Details :** Used for attributes that can have a positive or a negative value.

Code	Description	Remarks	TC
0	NO		<input checked="" type="checkbox"/>
1	YES		<input checked="" type="checkbox"/>

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CODE VALUES FOR 'XML ERROR CODES'	

### 30 XML Error Codes

Format n2

Technical

**Details :** Defines the codes to be used for reporting errors on XML messages.

Code	Description	Remarks	TC
12	Incorrect enumeration	Value of an element in a message is outside the predefined set of values or not part of the applicable technical code list (it is not facet-valid with respect to enumeration). This type of error is applicable to all elements with code values, so it is also applicable to those elements that identify a message type.	<input checked="" type="checkbox"/>
13	Missing	A mandatory/required element is missing in the received data, e.g. a required element is not present.	<input checked="" type="checkbox"/>
15	Not supported in this position	An element is not allowed to have a value because it is not allowed to be present according to the TMS message specification (including sequence of elements).	<input checked="" type="checkbox"/>
18	Unspecified Error / Other	Unspecified Error	<input checked="" type="checkbox"/>
19	Invalid decimal notation	Invalid decimal notation	<input checked="" type="checkbox"/>
35	Too many repetitions	Too many repetitions of a data group than the allowed	<input checked="" type="checkbox"/>
39	Element too long (length constraint)	Notification that the length of the element received exceeded the maximum length specified.	<input checked="" type="checkbox"/>
40	Element too short (length constraint)	Notification that the length of an element received is shorter than the minimum length specified.	<input checked="" type="checkbox"/>
50	Invalid Value for the specific type	The value of the specific data item is invalid according to the type of this element. For instance, MRN does not conform to the specifications given in the convention.	<input checked="" type="checkbox"/>
51	Invalid value according to the pattern	The value of the specific data item is invalid with respect to the defined pattern for this specific type.	<input checked="" type="checkbox"/>
52	Invalid XML format	Unclosed XML tags	<input checked="" type="checkbox"/>
53	Invalid character(s)	One (or more) character(s) used in a specific item is (are) not valid character(s).	<input checked="" type="checkbox"/>
54	Value is lower than the allowed lowest limit (Minimum Inclusive)	The value of the element is numerically lower than the lowest limit defined for this data item. For instance, if the minimum defined value is 99, then any value lower to that limit will be invalid (e.g. 98).	<input checked="" type="checkbox"/>

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CODE VALUES FOR 'XML ERROR CODES'	

### 30 XML Error Codes

Format n2

Technical

**Details :** Defines the codes to be used for reporting errors on XML messages.

Code	Description	Remarks	TC
55	Value is greater than the allowed upper limit (Maximum Inclusive)	The value of the element is numerically greater than the upper limit defined for this data item. For instance, if the maximum defined value is 100 then any value greater to that limit will be invalid (e.g. 101).	<input checked="" type="checkbox"/>
56	Value is lower than or equal to the allowed lowest limit (Minimum Exlcusive)	The value of the element is numerically lower than or equal to the lowest limit defined for this data item. For instance, if the minimum defined value is 99, then any value equal or lower to that limit will be invalid (e.g. 99).	<input checked="" type="checkbox"/>
57	Value is greater than or equal to the allowed upper limit (Maximum Exclusive)	The value of the element is numerically greater than or equal to the upper limit defined for this data item. For instance, if the maximum defined value is 100 then any value greater to that limit will be invalid (e.g. 100).	<input checked="" type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'ADDITIONAL INFORMATION ID (COMMON)'	

### 39 Additional Information ID (Common)

Format an..5

Technical

**Details :** Codes for Special Mentions (Box 44), see Regulation 1875/2006, Annex 30A. 'Additional Information/Special Indication Code to be sent across the Common Domain. Additional codes can be defined at national level in a separate National code list. A Transit/Import Business Codelist is maintained in CS/RD, values are shown for illustration only.

Code	Description	Remarks	TC
10600	Negotiable Bill of lading 'to order blank endorsed'		<input type="checkbox"/>
30400	RET-EXP – Copy 3 to be returned		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'AMENDMENT REJECTION MOTIVATION CODE'</b>	

## 45 Amendment rejection motivation code

Format n1

Technical

**Details :** - Used to specify the Amendment Rejection Motivation.  
 - Other codes have to be defined at National Level.

A Transit/Export/Import Business Codelist is maintained in CS/RD, values are shown for illustration only.

Code	Description	Remarks	TC
1	Trader not allowed to amend		<input type="checkbox"/>
4	Other		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'FUNCTIONAL ERROR CODES'	

## 49 Functional Error Codes

Format n2

Technical

**Details :** - Subset of the UN/EDIFACT generic error table.  
- For remarks on the codes : see DDNTA Sec. VII.

Code	Description	Remarks	TC
12	Incorrect (code) value	Value of an element in a message is outside the predefined domain or not part of the applicable code list.	<input checked="" type="checkbox"/>
13	Missing	A mandatory/required element is missing in the received data, e.g. a required element like the MRN for the Arrival Advice (C_ARR_ADV) is not present.	<input checked="" type="checkbox"/>
14	Value not supported in this position (code value constraint)	Notification that a recipient does not support use of the specific value of an identified element in the position where it is used. This type of error refers to the use of an improper code value for a specific element.	<input checked="" type="checkbox"/>
15	Not supported in this position	An element is not allowed to have a value due to the following two reasons: - The element is not allowed to be present according to the FMS message specification. - The element is not allowed to be present according to some additional condition, e.g. if type of packages has the value 'UNPACKED' then number of package can not have a value (condition C60 of FTSS - AES [A4] Appendix B).	<input checked="" type="checkbox"/>
19	Invalid decimal notation	The decimal notation is not according to the decimal formatting standards UCI, UCM, UCD.	<input checked="" type="checkbox"/>
26	Duplicate detected	The same interchange is received again. Duplication is detected by reception of an interchange reference that has already been received.	<input checked="" type="checkbox"/>
35	Too many repetitions	Too many occurrences of a segment or entity.	<input checked="" type="checkbox"/>
37	Invalid type characters	Notification that one or more numeric characters were used in an alphabetic element or that one or more alphabetic characters were used in a numeric element.	<input checked="" type="checkbox"/>
38	Missing digit in front of decimal sign	Notification that a decimal sign is not preceded by one or more digits.	<input checked="" type="checkbox"/>
39	Element too long (length constraint)	Notification that the length of the element received exceeded the maximum length specified.	<input checked="" type="checkbox"/>
40	Element too short (length constraint)	Notification that the length of an element received is shorter than the minimum length specified.	<input checked="" type="checkbox"/>
90	Unknown MRN	The MRN of the received FMS is not known, whereas it is expected to be known. This type of error can not be detected in an AAR (C_AAR_SND)	<input checked="" type="checkbox"/>

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CODE VALUES FOR 'FUNCTIONAL ERROR CODES'	

## 49 Functional Error Codes

Format n2

Technical

**Details :** - Subset of the UN/EDIFACT generic error table.  
 - For remarks on the codes : see DDNTA Sec. VII.

Code	Description	Remarks	TC
91	Duplicate MRN	The MRN of the received FMS is already known and is therefore not unique according to the specified rules.	<input checked="" type="checkbox"/>
92	Message out of sequence	The message can not be processed, because the receiver is not in a proper state.	<input checked="" type="checkbox"/>
93	Invalid MRN	The structure of the MRN is not conform specifications given in FTSS - AIS/AES [A4].	<input checked="" type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'PREFIX-SUFFIX LEVEL'	

### 53 Prefix-Suffix level

Format an1

Technical

Details : Used for CUSTOMS OFFICE LSD.

Code	Description	Remarks	TC
A	Simple office (see box A in Customs Office List)		<input checked="" type="checkbox"/>
E	Higher authority (see box E in Customs Office List)		<input checked="" type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'ROLE'</b>	

## 56 Role

**Format** an3

**Technical**

**Details :** Customs Office performing one or more precise role(s) in Transit/Export/Import.

A/An Transit/Export/Import Business Codelist is maintained in CS/RD, values are shown for illustration only.

The same codelist values are used for all Domains (ECS/NCTS/ICS) in order to support the COL.

Code	Description	Remarks	TC
AUT	Higher Authority		<input type="checkbox"/>
CAU	Competent Authority of Country of Departure		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'CUSTOMS OPERATION STATE TYPES'</b>	

## 58 Customs Operation State Types

Format an..3

Technical

**Details :**

- Values at Common Domain level
- Other values can be defined at national Level
- The values of this Codelist are maintained in the CS\RD

Code	Description	Remarks	TC
H01	ENS Registered		<input type="checkbox"/>
H02	ENS Common risk analysis registered		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'MESSAGE TYPES'</b>	

## 60 Message Types

Format an..6

Technical

**Details :** Used for attribute MESSAGE ERRORS.Association assigned code and MESSAGE.Message Type.

The usage of each code in one of the UNSM templates is defined in the Remarks column.

CC should in all cases be instantiated to the value of the particular domain in which the message is created.

Code	Description	Remarks	TC
CC304A	Entry Summary Declaration Amendment Accepted	Values for XML	<input checked="" type="checkbox"/>
CC305A	Entry Summary Declaration Amendment Rejection	Values for XML	<input checked="" type="checkbox"/>
CC313A	Entry Summary Declaration Amendment	Values for XML	<input checked="" type="checkbox"/>
CC315A	Entry Summary Declaration	Values for XML	<input checked="" type="checkbox"/>
CC316A	Entry Summary Declaration Rejected	Values for XML	<input checked="" type="checkbox"/>
CC323A	Diversion Request Import	Values for XML	<input checked="" type="checkbox"/>
CC324A	Diversion Request Rejected	Values for XML	<input checked="" type="checkbox"/>
CC325A	Diversion Request Acknowledgement	Values for XML	<input checked="" type="checkbox"/>
CC328A	Entry Summary Request Acknowledgement	Values for XML	<input checked="" type="checkbox"/>
CC351A	Advanced Intervention Notification	Values for XML	<input checked="" type="checkbox"/>
CD030C	Notification of customs offices modification to common domain	Values for PARTTC	<input checked="" type="checkbox"/>
CD031C	Notification of customs offices modification to national domain	Values for PARTTC	<input checked="" type="checkbox"/>
CD032C	Notification of common reference data modification to ND	Values for GESMES	<input checked="" type="checkbox"/>
CD070B	Notification of System Unavailability to CD	Values for XML	<input checked="" type="checkbox"/>
CD071B	Notification of System Unavailability to ND	Values for XML	<input checked="" type="checkbox"/>
CD301A	ENS	Values for XML	<input checked="" type="checkbox"/>
CD302A	Declaration Request Import	Values for XML	<input checked="" type="checkbox"/>
CD303A	Entry Summary Declaration Response	Values for XML	<input checked="" type="checkbox"/>
CD319A	Transmission to subsequent Office of Entry	Values for XML	<input checked="" type="checkbox"/>
CD906B	Functional NACK	Values for FUNACK	<input checked="" type="checkbox"/>
CD912B	Availability Matrix	Values for FUNACK	<input checked="" type="checkbox"/>
CD913B	Upload parsing response	Values for CUSRES	<input checked="" type="checkbox"/>
CD914B	COL request	Values for PARTTC	<input checked="" type="checkbox"/>
CD916B	Common RD Request	Values for GESMES	<input checked="" type="checkbox"/>
CD917B	XML NACK	Values for XML	<input checked="" type="checkbox"/>
CD931C	COL DATA	Values for PARTTC	<input checked="" type="checkbox"/>
CD932C	Common RD Data	Values for GESMES	<input checked="" type="checkbox"/>
CD971B	Full Unavailability Schedule	Values for XML	<input checked="" type="checkbox"/>

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CODE VALUES FOR 'SPECIFIC NOTES CODE'	

## 67 Specific notes code

Format an..6

Technical

**Details :** Specific notes to be entered in the Customs Office List.

The same codelist values are used for both Domains (ECS/NCTS/ICS) in order to support the COL.

Code	Description	Remarks	TC
SN0001	This customs office is open on public holidays		<input type="checkbox"/>
SN0002	This customs office deals with transit operations under simplified procedure (authorised consignee / consignor) only.		<input type="checkbox"/>

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<b>CODE VALUES FOR 'BUSINESS FUNCTIONALITY CODE'</b>	

## 68 Business Functionality Code

Format a1

Technical

Details :

Code	Description	Remarks	TC
H	Reference Data		<input checked="" type="checkbox"/>
O	Accept sending Entry summary declarations to an Office of First Entry in another MS		<input checked="" type="checkbox"/>
P	Accept receiving Entry summary declarations lodged at an Office of Lodgement in another MS		<input checked="" type="checkbox"/>
U	Entry Processing		<input checked="" type="checkbox"/>
V	Lodgement		<input checked="" type="checkbox"/>
W	ALL FUNCTIONALITY		<input checked="" type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'COUNTRY CODES (CUSTOMS OFFICE LISTS)'</b>	

## 70 Country Codes (Customs Office Lists)

Format a2

Technical

**Details :** The country code of SM as well as the codes of all the countries included in code list 9 will be included .  
The same codelist values are used for both Domains (ECS/NCTS) in order to support the COL.

Code	Description	Remarks	TC
AD	Andorra		<input checked="" type="checkbox"/>
AT	Austria		<input checked="" type="checkbox"/>
BE	Belgium		<input checked="" type="checkbox"/>
BG	Bulgaria		<input checked="" type="checkbox"/>
CH	Switzerland	Including the German territory of Bósingen and the Italian municipality of Campione d'Italia	<input checked="" type="checkbox"/>
CY	Cyprus		<input checked="" type="checkbox"/>
CZ	Czech Republic		<input checked="" type="checkbox"/>
DE	Germany	Including the island of Helgoland; excluding the territory of Bósingen	<input checked="" type="checkbox"/>
DK	Denmark		<input checked="" type="checkbox"/>
EE	Estonia		<input checked="" type="checkbox"/>
ES	Spain	Including Balearic Islands and Canary Islands; excluding Ceuta and Melilla	<input checked="" type="checkbox"/>
FI	Finland	Including Eland Islands	<input checked="" type="checkbox"/>
FR	France	Including Monaco and the French overseas departments (Riunion, Guadeloupe, Martinique and French Guiana)	<input checked="" type="checkbox"/>
GB	United Kingdom	Great Britain, Northern Ireland, Channel Islands and Isle of Man	<input checked="" type="checkbox"/>
GR	Greece		<input checked="" type="checkbox"/>
HU	Hungary		<input checked="" type="checkbox"/>
IE	Ireland		<input checked="" type="checkbox"/>
IS	Iceland		<input checked="" type="checkbox"/>
IT	Italy	Including Livigno	<input checked="" type="checkbox"/>
LT	Lithuania		<input checked="" type="checkbox"/>
LU	Luxembourg		<input checked="" type="checkbox"/>
LV	Latvia		<input checked="" type="checkbox"/>
MT	Malta		<input checked="" type="checkbox"/>
NL	Netherlands		<input checked="" type="checkbox"/>
NO	Norway	Including Svalbard Archipelago and Jan Mayen Island	<input checked="" type="checkbox"/>
PL	Poland		<input checked="" type="checkbox"/>
PT	Portugal	Including Azores and Madeira	<input checked="" type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'COUNTRY CODES (CUSTOMS OFFICE LISTS)'</b>	

## 70 Country Codes (Customs Office Lists)

Format a2

Technical

**Details :** The country code of SM as well as the codes of all the countries included in code list 9 will be included .  
The same codelist values are used for both Domains (ECS/NCTS) in order to support the COL.

Code	Description	Remarks	TC
RO	Romania		<input checked="" type="checkbox"/>
SE	Sweden		<input checked="" type="checkbox"/>
SI	Slovenia		<input checked="" type="checkbox"/>
SK	Slovakia		<input checked="" type="checkbox"/>
SM	San Marino		<input checked="" type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'SYSTEM UNAVAILABILITY TYPE'	

## 79 System Unavailability Type

Format a1

Technical

**Details :** Used for the attribute SYSTEM UNAVAILABILITY.System Unavailability Type.

Code	Description	Remarks	TC
N	Business service not implemented		<input checked="" type="checkbox"/>
S	Scheduled Unavailability		<input checked="" type="checkbox"/>
U	Unscheduled Unavailability		<input checked="" type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'RISK ANALYSIS RESULT CODE'</b>	

## 95 Risk analysis result code

**Format** an1

**Technical**

**Details :** "Values for the 1-4 digits: Some code values will be defined at Common Domain level, other values can be defined at National Domain level.  
Values at common domain level (codes to be used for C-Messages) will be defined by the Risk Management Framework project. Values for the last digit:"

Code	Description	Remarks	TC
0	No risk identified		<input type="checkbox"/>
N	National risk analysis results. ('N' shall also be used in case of national profile random risk analysis)		<input type="checkbox"/>
Z	No risk analysis results available		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'SPECIFIC CIRCUMSTANCE INDICATOR'	

## 96 Specific circumstance indicator

Format a1

Technical

Details : Regulation 1875/2006

Code	Description	Remarks	TC
C	Road mode of transport		<input type="checkbox"/>
D	Rail mode of transport		<input type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'SUMMARY DECLARATION REJECTION REASON CODE'	

**97 Summary declaration rejection reason code**

Format n..2

Technical

Details :

Code	Description	Remarks	TC
7	Movement already arrived at Entry		<input type="checkbox"/>
8	Movement already released for Entry		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'UN DANGEROUS GOODS CODE'</b>	

## 101 UN dangerous goods code

Format an4

Technical

**Details :** Codes from the UNDG (United Nations Dangerous Goods list) shall be used.

Code	Description	Remarks	TC
0004	AMMONIUM PICRATE dry or wetted with less than 10% water, by mass		<input type="checkbox"/>
0005	CARTRIDGES FOR WEAPONS with bursting charge		<input type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'DAY IN THE WEEK'	

**105 Day in the week**

Format n1

Technical

Details :

Code	Description	Remarks	TC
1	Monday		<input checked="" type="checkbox"/>
2	Tuesday		<input checked="" type="checkbox"/>
3	Wednesday		<input checked="" type="checkbox"/>
4	Thursday		<input checked="" type="checkbox"/>
5	Friday		<input checked="" type="checkbox"/>
6	Saturday		<input checked="" type="checkbox"/>
7	Sunday		<input checked="" type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'ITEM NUMBER REJECTION REASON CODE'	

## 106 Item Number Rejection Reason Code

Format n..2

Technical

Details :

Code	Description	Remarks	TC
4	Other reasons		<input type="checkbox"/>
5	Item unknown		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'CUSTOMS INTERVENTION CODE'</b>	

## 108 Customs Intervention Code

Format an..4

Technical

**Details :** Used to specify the type of customs control in case Customs decide to intervene.  
Other nationally defined codes may be used additionally.

Code	Description	Remarks	TC
A001	Goods not to be loaded		<input type="checkbox"/>
P001	General physical control of goods		<input type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'INFORMATION TYPE'	

## 109 Information Type

Format n..2

Technical

**Details :** Used to specify the requested or provided type of information:

Code	Description	Remarks	TC
1	Common risk analysis results and appropriate ENS data		<input type="checkbox"/>
2	Full ENS including common risk analysis results		<input type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'VAT MS COUNTRY CODE'	

### 113 VAT MS country code

Format a2

Technical

**Details :** The VAT MS country code is an EU country code as defined in [http://ec.europa.eu/taxation\\_customs/vies/faqvies.do#item11](http://ec.europa.eu/taxation_customs/vies/faqvies.do#item11).

Code	Description	Remarks	TC
AT	Austria		<input type="checkbox"/>
EL	Greece		<input type="checkbox"/>

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REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'ECONOMIC SECTOR OF ACTIVITY CODE'</b>	

## 114 Economic sector of activity code

Format an..4

Technical

**Details :** The Commission provides a list of codes for the statistical classification of economic activities in the European Community ([http://ec.europa.eu/eurostat/ramon/nomenclatures/index.cfm?TargetUrl=LST\\_NOM\\_DTL&StrNom=NACE\\_REV2&StrLanguageCode=EN&IntPcKey=&StrLayoutCode=HIERARCHIC&CFID=8233090&CFTOKEN=fd695a66bdc1b288-D77DD670-AA34-B61F-6BA164D98D40B3A](http://ec.europa.eu/eurostat/ramon/nomenclatures/index.cfm?TargetUrl=LST_NOM_DTL&StrNom=NACE_REV2&StrLanguageCode=EN&IntPcKey=&StrLayoutCode=HIERARCHIC&CFID=8233090&CFTOKEN=fd695a66bdc1b288-D77DD670-AA34-B61F-6BA164D98D40B3A)). The list of applicable codes is derived from the codes defined in the aforementioned URL, by removing the first letter (e.g. 'A' denoting the major category) and the dot '.' (appearing on the third and fourth levels).  
It is a numerical 2 to 4 digits code (i.e. n..4).

Code	Description	Remarks	TC
011	Growing of non-perennial crops		<input type="checkbox"/>
0111	Growing of cereals (except rice), leguminous crops and oil seeds		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'TYPE OF PERSON CODE'	

## 115 Type of person code

Format n1

Technical

**Details :** It is used to define the type of person (defined as 1-digit field).

Code	Description	Remarks	TC
1	Natural person		<input type="checkbox"/>
2	Legal person		<input type="checkbox"/>
3	Association of persons		<input type="checkbox"/>

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REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'TRANSPORT CHARGES - METHOD OF PAYMENT'	

## 116 Transport charges - Method of payment

Format a1

Technical

**Details :** A Transit(/Export) Business Codelist is maintained in CS/RD, values are shown for illustration only.  
Commission Regulation (EC) 1875/2006

Code	Description	Remarks	TC
A	Payment in cash		<input type="checkbox"/>
B	Payment by credit card		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'AEO CERTIFICATE TYPE CODE'	

## 120 AEO certificate type code

Format an4

Technical

**Details :** It is an alphanumeric 4 character string (i.e. an4). The certificate type code classifies distinct types of certificates.

Code	Description	Remarks	TC
AEOC	Customs Simplifications		<input type="checkbox"/>
AEOF	Customs Simplifications/Security and Safety		<input type="checkbox"/>
AEOS	Security and Safety		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'EORI-AEO ERROR CODE'</b>	

## 121 EORI-AEO Error code

**Format** an..50

**Technical**

**Details :** It is an alphanumerical up to 50 characters string (i.e. an..50) that is used to define in an acknowledgement notification message the error code(s).

Code	Description	Remarks	TC
GEN-CORR	Non XML message received		<input checked="" type="checkbox"/>
GEN-INVALID-BYTE-SEQUENCE	Invalid byte sequence: a byte sequence does not represent a valid character in the character encoding scheme (UTF-8).		<input checked="" type="checkbox"/>
GEN-WRONG-ENVID	Envelope Id and/or Originator Reference are missing		<input checked="" type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'CRITERIA CODE'</b>	

## 122 Criteria code

Format an..3

Technical

**Details :** The criteria code classifies all the criteria that must be met to have an AEO certificate. The criteria codes are used also in the "send prejudicial information" process, the "mandatory consultation" process and in the "re-assessment" process.

As some of them are only required for a specific type of AEO certificates (e.g. 2c is not required for AEOS; codes beginning with 41 are only required for AEOS and AEOF; codes beginning with 42 are only required for AEOS), the criteria code are further divided in 3 sub-groups: criteria common to all certificate types, criteria only valid for the AEOC and AEOF certificate type and criteria only valid for the AEOS and AEOF certificate type.

The descriptions of the criteria code refer to articles of the [AEO-REG]

Code	Description	Remarks	TC
1	<14h(1)> Conditions and criteria regarding the record of compliance with customs requirements		<input type="checkbox"/>
1a	<14h(1)a> Serious infringement or repeated infringements of customs rules have been committed by the applicant over the last 3 years preceding the submission of the application		<input type="checkbox"/>
1b	<14h(1)b> Serious infringement or repeated infringements of customs rules have been committed by the persons in charge of the applicant company or exercising control over its management over the last 3 years preceding the submission of the application		<input type="checkbox"/>
1c	<14h(1)c> Serious infringement or repeated infringements of customs rules have been committed by the applicant's legal representative in customs matters (if applicable) over the last 3 years preceding the submission of the application		<input type="checkbox"/>
1d	<14h(1)d> Serious infringement or repeated infringements of customs rules have been committed by the person responsible in the applicant company for customs matters over the last 3 years preceding the submission of the application		<input type="checkbox"/>
2	<14i> Conditions and criteria regarding a satisfactory system of managing commercial and, when appropriate, transport records		<input type="checkbox"/>
2a	<14ia)> The applicant does not maintain an accounting system which is consistent with the generally accepted accounting principles applied in the Member State where the accounts are held		<input type="checkbox"/>
2b	<14ib)> The applicant does not allows the customs authority physical or electronic access to its customs and, where appropriate, transport records		<input type="checkbox"/>
2c	<14ic)> The applicant has not a logistical system which distinguishes between Community and non-Community goods		<input type="checkbox"/>
2d	<14id)> The applicant has not an administrative organisation which corresponds to the type and size of business and which is suitable for the management of the flow of goods, and have internal controls capable of detecting illegal or irregular transactions		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'CRITERIA CODE'</b>	

## 122 Criteria code

Format an..3

Technical

**Details :** The criteria code classifies all the criteria that must be met to have an AEO certificate. The criteria codes are used also in the "send prejudicial information" process, the "mandatory consultation" process and in the "re-assessment" process.

As some of them are only required for a specific type of AEO certificates (e.g. 2c is not required for AEOS; codes beginning with 41 are only required for AEOS and AEOF; codes beginning with 42 are only required for AEOS), the criteria code are further divided in 3 sub-groups: criteria common to all certificate types, criteria only valid for the AEOC and AEOF certificate type and criteria only valid for the AEOS and AEOF certificate type.

The descriptions of the criteria code refer to articles of the [AEO-REG]

Code	Description	Remarks	TC
2e	<14ie> The applicant, where applicable, has not satisfactory procedures in place for the handling of licenses and authorisations connected to commercial policy measures or to trade in agricultural products		<input type="checkbox"/>
2f	<14if> The applicant has not satisfactory procedures in place for the archiving of the company's records and information and for protection against the loss of information		<input type="checkbox"/>
2g	<14ig> The applicant ensures not that employees are made aware of the need to inform the customs authorities whenever compliance difficulties are discovered and establish suitable contacts to inform the customs authorities of such occurrences		<input type="checkbox"/>
2h	<14ih> The applicant has not appropriate information technology security measures in place to protect the applicant's computer system from unauthorised intrusion and to secure the applicant's documentation		<input type="checkbox"/>
3	<14j> The conditions and criteria regarding financial solvency are not sufficient		<input type="checkbox"/>
4	<14k> Conditions and criteria regarding appropriate security and safety standards		<input type="checkbox"/>
41a	<14k(1)a> Buildings to be used in connection with the operations to be covered by the certificate are not constructed of materials which resist unlawful entry and provide protection against unlawful intrusion		<input type="checkbox"/>
41b	<14k(1)b> Appropriate access control measures are not in place to prevent unauthorised access to shipping areas, loading docks and cargo areas		<input type="checkbox"/>
41c	<14k(1)c> Measures for the handling of goods include not protection against the introduction, exchange or loss of any material and tampering with cargo units		<input type="checkbox"/>
41d	<14k(1)d> Where applicable, procedures are not in place for the handling of import and/or export licenses connected to prohibitions and restrictions and to distinguish these goods from other goods		<input type="checkbox"/>
41e	<14k(1)e> The applicant has not implemented measures allowing a clear identification of his business partners in order to secure the international supply chain		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'CRITERIA CODE'	

## 122 Criteria code

Format an..3

Technical

**Details :** The criteria code classifies all the criteria that must be met to have an AEO certificate. The criteria codes are used also in the "send prejudicial information" process, the "mandatory consultation" process and in the "re-assessment" process.

As some of them are only required for a specific type of AEO certificates (e.g. 2c is not required for AEOS; codes beginning with 41 are only required for AEOS and AEOF; codes beginning with 42 are only required for AEOS), the criteria code are further divided in 3 sub-groups: criteria common to all certificate types, criteria only valid for the AEOC and AEOF certificate type and criteria only valid for the AEOS and AEOF certificate type.

The descriptions of the criteria code refer to articles of the [AEO-REG]

Code	Description	Remarks	TC
41f	<14k(1)f> The applicant conducts not, in so far as legislation permits, security screening on prospective employees working in security sensitive positions and carries out periodic background checks		<input type="checkbox"/>
41g	<14k(1)g> The applicant ensures not that its staff concerned actively participate in security awareness programmes		<input type="checkbox"/>
42a	<14k(2)a> If an airline or shipping company which is not established in the Community, but has a regional office there and benefits from the simplifications laid down in Articles 324e, 445 or 448, it shall be the holder of an internationally recognized security and/or safety certificate issued on the basis of the international conventions governing the transport sectors concerned. Problems discovered about the certificate.		<input type="checkbox"/>
42b	<14k(2)b> If an airline or shipping company which is not established in the Community, but has a regional office there and benefits from the simplifications laid down in Articles 324e, 445 or 448, it shall be a regulated agent, as referred to in Regulation (EC) No 2320/2002 of the European Parliament and of the Council (*), and fulfil the requirements laid down in Commission Regulation (EC) No 622/2003. Problems discovered about the regulated agent status.		<input type="checkbox"/>
42c	<14k(2)c> If an airline or shipping company which is not established in the Community, but has a regional office there and benefits from the simplifications laid down in Articles 324e, 445 or 448, it shall be the holder of a certificate issued in a country outside of the customs territory of the Community, where a bilateral agreement concluded between the Community and the third country provides for acceptance of the certificate, subject to the conditions laid down in that agreement. Problems with the certificate discovered.		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'CRITERIA CODE'	

## 122 Criteria code

Format an..3

Technical

**Details :** The criteria code classifies all the criteria that must be met to have an AEO certificate. The criteria codes are used also in the “send prejudicial information” process, the “mandatory consultation” process and in the “re-assessment” process.

As some of them are only required for a specific type of AEO certificates (e.g. 2c is not required for AEOS; codes beginning with 41 are only required for AEOS and AEOF; codes beginning with 42 are only required for AEOS), the criteria code are further divided in 3 sub-groups: criteria common to all certificate types, criteria only valid for the AEOC and AEOF certificate type and criteria only valid for the AEOS and AEOF certificate type.

The descriptions of the criteria code refer to articles of the [AEO-REG]

Code	Description	Remarks	TC
42d	<14g(4)> If an economic operator which is not established in the Community, but is established in a third country where an international agreement between the Community and the third country provides for mutual recognition of the AEO certificates and specifies the administrative arrangements for carrying out appropriate controls on behalf of the Member State's customs authority if required. Problems with the certificate discovered.		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'CUSTOMS PROCEDURE CODE'</b>	

## 123 Customs procedure code

Format an..5

Technical

**Details :** It is an alphanumeric 5 character string (i.e. an..5).  
The customs procedure code classifies distinct procedures for simplification. The relevant customs procedures shall be entered in the form of the letters used as column headings (A to K) to identify customs procedures in the matrix in Annex 37 Title I, point of the Commission Regulation (EEC) No 2454/93 laying down provisions for the implementation of Council Regulation (EEC) No 2913/92 establishing the Community Customs Code. The values at the time of writing are shown in the following table.

Code	Description	Remarks	TC
A	Export/Dispatch		<input type="checkbox"/>
B	Customs warehousing of pre-financed goods for export		<input type="checkbox"/>
C	Re-export after a customs procedure with economic impact other than the customs warehousing procedure (inward processing, temporary importation, processing under customs control)		<input type="checkbox"/>
D	Re-export after customs warehousing		<input type="checkbox"/>
E	Outward processing		<input type="checkbox"/>
F	Transit		<input type="checkbox"/>
G	Community status of goods		<input type="checkbox"/>
H	Release for free circulation		<input type="checkbox"/>
I	Placing under a customs procedure with economic impact other than the outward processing and customs warehousing procedures (inward processing (suspension system), temporary importation, processing under customs control)		<input type="checkbox"/>
J	Placing in type A, B, C, E and F customs warehouses		<input type="checkbox"/>
K	Placing in a type D customs warehouse		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'REJECTION REASON CODE'</b>	

## 124 Rejection reason code

Format an1

Technical

**Details :** The rejection reason code classifies distinct reasons why an application is rejected. The values defined at the time of writing are:

Code	Description	Remarks	TC
1	Conditions and criteria regarding the record of compliance with customs requirements not met		<input type="checkbox"/>
2	Conditions and criteria regarding a satisfactory system of managing commercial and, when appropriate, transport records not met		<input type="checkbox"/>
3	Conditions and criteria regarding financial solvency not met		<input type="checkbox"/>
4	Conditions and criteria regarding appropriate security and safety standards not met		<input type="checkbox"/>
5	Accepted by error		<input type="checkbox"/>
6	Wrong data capture		<input type="checkbox"/>
7	Withdrawn by Economic Operator		<input type="checkbox"/>
8	Application deliberately incomplete		<input type="checkbox"/>
9	Application deliberately inaccurate		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'SUSPENSION REASON CODE'</b>	

## 125 Suspension reason code

Format an1

Technical

**Details :** The suspension reason code classifies distinct reasons why a certificate is suspended. The values defined at the time of writing are:

Code	Description	Remarks	TC
1	Non compliance with conditions and criteria regarding the record of compliance with customs requirements		<input type="checkbox"/>
2	Non compliance with conditions and criteria regarding a satisfactory system of managing commercial and, when appropriate, transport records		<input type="checkbox"/>
3	Non compliance with conditions and criteria regarding financial solvency		<input type="checkbox"/>
4	Non compliance with conditions and criteria regarding appropriate security and safety standards		<input type="checkbox"/>
5	Suspicion of serious infringement of customs rules		<input type="checkbox"/>
6	Requested by the AEO certificate holder		<input type="checkbox"/>
S	Suspended resulting from the suspension of the partial revocation of the "parent" certificate		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'REVOCATION REASON CODE'	

## 126 Revocation reason code

Format an1

Technical

**Details :** The revocation reason code classifies distinct reasons why a certificate is revoked. The values defined at the time of writing are:

Code	Description	Remarks	TC
1	Non compliance with conditions and criteria regarding the record of compliance with customs requirements		<input type="checkbox"/>
2	Non compliance with conditions and criteria regarding a satisfactory system of managing commercial and, when appropriate, transport records		<input type="checkbox"/>
3	Non compliance with conditions and criteria regarding financial solvency		<input type="checkbox"/>
4	Non compliance with conditions and criteria regarding appropriate security and safety standards		<input type="checkbox"/>
5	Condemned for infringement of customs rules		<input type="checkbox"/>
6	The authorised economic operator fails to take the measures referred to in the implementing provisions Article 14t(1)		<input type="checkbox"/>
7	The authorised economic operator fails to take the measures during the suspension period referred to in the implementing provisions Article 14u		<input type="checkbox"/>
8	Requested by the AEO certificate holder		<input type="checkbox"/>
9	AEO certificate issued by mistake		<input type="checkbox"/>
A	Revoked resulting from the annulment of the partial revocation of the "parent" certificate		<input type="checkbox"/>
R	Revoked resulting from the revocation of the "parent" certificate		<input type="checkbox"/>
W	Revoked resulting from the withdrawal of the partial suspension of the "parent" certificate		<input type="checkbox"/>

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REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'INITIATOR CODE'	

## 127 Initiator code

Format an1

Technical

**Details :** The initiator code classifies distinct initiators who can take action to suspend or revoke an AEO certificate.

Code	Description	Remarks	TC
1	Issuing customs authority		<input type="checkbox"/>
2	AEO certificate holder		<input type="checkbox"/>
C	AEO system		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'ACTIVITY CODE'</b>	

## 128 Activity code

Format an1

Technical

**Details :** The activity code classifies distinct types of workflow activities.

Code	Description	Remarks	TC
C	Consultation activity (results of consultation to be communicated to the issuing customs authority)		<input type="checkbox"/>
F	Pre-rejection activity (decision to be taken to either reject the application or issue the certificate)		<input type="checkbox"/>
I	Issuing / pre-audit activity (decision to be taken to either pre-reject the application, reject the application or issue the certificate)		<input type="checkbox"/>
M	Mandatory consultation activity (results of consultation to be communicated to the issuing customs authority)		<input type="checkbox"/>
N	Non-immediate suspension activity (decision to be taken to either suspend the AEO status or end the non-immediate suspension)		<input type="checkbox"/>
P	Prejudicial information activity (when existing, communicate prejudicial information to the issuing customs authority)		<input type="checkbox"/>
R	Re-assessment activity (communicate result of re-assessment and possibly suspend the AEO status)		<input type="checkbox"/>
S	Suspension activity (decision to be taken to either revoke the AEO certificate or withdraw the suspension)		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'PROCESS CODE'	

## 129 Process code

Format an1

Technical

**Details :** The process code classifies distinct types of workflow processes.

Code	Description	Remarks	TC
I	AEO certificate issuing process		<input type="checkbox"/>
N	AEO certificate non-immediate suspension process		<input type="checkbox"/>
R	AEO certificate re-assessment process		<input type="checkbox"/>
S	AEO certificate suspension process		<input type="checkbox"/>

DDNIA FOR ICS PHASE 1	VER: 011-EN
REF: CUD-SC01-DDNIA_ICS_P1_APP_C	ALIGNED TO DDNA KEL V21
APPENDIX C: CODELISTS	
CODE VALUES FOR 'TIMER CODE'	

### 130 Timer code

Format an1

Technical

**Details :** The timer codes classify the possible type of timer expiry communicated by the AEO central system to the National Administrations systems.

Code	Description	Remarks	TC
I	End of issuing period (this timer is linked to the "Issuing / pre-audit activity" as defined in A02-Activity)		<input type="checkbox"/>
N	Non-immediate suspension period timer expires (this timer is linked to the "Non-immediate suspension activity" as defined in A02-Activity)		<input type="checkbox"/>
P	Pre-rejection timer expires (this timer is linked to the "Pre-rejection activity" as defined in A02-Activity)		<input type="checkbox"/>
S	Suspension period timer expires (this timer is linked to the "Suspension activity" as defined in A02-Activity)		<input type="checkbox"/>

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APPENDIX C: CODELISTS	
CODE VALUES FOR 'STATE CODE'	

### 131 State code

Format an1

Technical

**Details :** The state code classifies distinct types of states of a workflow process or activity.

Code	Description	Remarks	TC
A	Aborted		<input type="checkbox"/>
C	Completed		<input type="checkbox"/>
N	Not started		<input type="checkbox"/>
R	Running		<input type="checkbox"/>
S	Suspended		<input type="checkbox"/>
T	Terminated		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'EXTENSION REASON CODE'</b>	

## 132 Extension reason code

Format an2

Technical

**Details :** The extension reason code classifies distinct reasons why an issuing period is extended.

Code	Description	Remarks	TC
1	At the applicant request		<input type="checkbox"/>
2	At the issuing customs authority request according to <Art 14o(2)>		<input type="checkbox"/>
3	Extension of due to changes to business information on the application form (e.g. a new address in a new country has been added)		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'CRITERION RESULT CODE'</b>	

### 133 Criterion result code

Format n1

Technical

**Details :** These codes classify the possible results of the evaluation of a criterion to be checked during a mandatory consultation (issuing period) or during a consultation (re-assessment).  
It is used to detail the result criterion, per criterion for each criterion requested to be controlled in a mandatory consultation (see RESULT OF MANDATORY CONSULTATION for a summary result of the mandatory consultation)

Code	Description	Remarks	TC
1	criterion met		<input type="checkbox"/>
2	criterion not met		<input type="checkbox"/>
3	not applicable		<input type="checkbox"/>
4	not checkable		<input type="checkbox"/>

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<b>APPENDIX C: CODELISTS</b>	
<b>CODE VALUES FOR 'REASSESSMENT REASON CODE'</b>	

## 134 Reassessment reason code

Format n1

Technical

**Details :** The re-assessment reason codes classify the possible reasons to start a re-assessment of a certificate.

Code	Description	Remarks	TC
1	Non-compliance with conditions and criteria detected <Art 14q(5) 1st paragraph b> by the issuing competent customs authority		<input type="checkbox"/>
2	Non-compliance with conditions and criteria detected <Art 14q(5) 1st paragraph b> by a competent customs authority other than the issuing competent customs authority		<input type="checkbox"/>
3	Major changes to the relevant Community legislation <Art 14q(5) 1st paragraph a>		<input type="checkbox"/>
4	Authorisation of simplification revoked <Art 14w(3)>		<input type="checkbox"/>
5	End of process « Amend an AEO application »		<input type="checkbox"/>
6	Result of monitoring AEO certificate holder <Art 14q(5) 2nd paragraph>		<input type="checkbox"/>